



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Philip M. Beart, Ross D. O'Shea, Karina Aprico, Andrew J. Lawrence, and Maria-Luisa Macccecchini

#3 Section
COPY OF PAPERS
ORIGINALLY FILED

Serial No.: 09/944,954

Group Art Unit: 1614

Filed: September 1, 2001

Examiner: Not yet assigned

For: SCREEN FOR GLUTAMATE REUPTAKE INHIBITORS, STIMULATORS,
AND MODULATORS

BOX MISSING PARTS

Commissioner of Patents and Trademarks
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE
MISSING PARTS OF NONPROVISIONAL APPLICATION AND
PETITION FOR EXTENSION OF TIME UNDER 37 C.F.R. § 1.136(a)**

Sir:

Responsive to the Notice to File Missing Parts of Nonprovisional Application, mailed October 4, 2001, applicants enclose the following for filing in the above-identified application:

1. Petition for Extension of Time Under 37 C.F.R. § 1.136(a) for one month with Certificate of Mailing Under 37 C.F.R. § 1.8(a);
2. Declaration for Patent Application executed by Philip M. Beart, Ross D. O'Shea, Karina Aprico, and Andrew J. Lawrence;
3. Declaration for Patent Application executed by Maria-Luisa Macccecchini;
4. Power of Attorney or Authorization of Agent executed by Monash University;

03/06/2002 SSALEEKU 00000002 501868 09944954

01 FC:115 110.00 CH

SYM 116/118
077588/00020

5. Statement Under 37 C.F.R. § 3.73(b) executed by Monash University, with attached copy of the executed Assignment from Philip M. Beart, Ross D. O'Shea, Karina Aprico, and Andrew J. Lawrence to Monash University;

6. Power of Attorney or Authorization of Agent executed by Annovis, Inc.; and

7. Statement Under 37 C.F.R. § 3.73(b) executed by Annovis, Inc., with attached copy of the executed Assignment from Maria-Luisa Maccicchini to Annovis, Inc.

The Commissioner is hereby authorized to charge Deposit Order Account No. 50-1868 in the amount of \$120.00 for the (a) \$65.00 small entity surcharge for late filing the Declaration for Patent Application, and (b) \$55.00 small entity fee for a one-month extension of time.

Applicants also enclose a copy of the Notice to File Missing Parts of Nonprovisional Application, mailed October 4, 2001.

The Commissioner is hereby authorized to charge any additional fees that may be due, or credit any overpayment in connection with this matter, to Deposit Account No. 50-1868. A duplicate of this transmittal is enclosed to facilitate this process.

Respectfully submitted,



Patrea L. Pabst
Reg. No. 31,284

Date: January 4, 2002
HOLLAND & KNIGHT LLP
Suite 2000, One Atlantic Center
1201 West Peachtree Street, N.E.
Atlanta, Georgia 30309-3400
(404) 817-8473 (Telephone)
(404) 817-8588 (Fax)

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

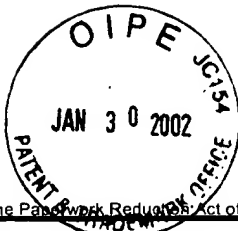
I hereby certify that this RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION AND PETITION FOR EXTENSION OF TIME UNDER
37 C.F.R. § 1.136(a), and any paper referred to as being attached or enclosed, are being
deposited with the United States Postal Service on the date shown below with sufficient postage
as first-class mail in an envelope addressed to Box Missing Parts, Commissioner of Patents and
Trademarks, Washington, D.C. 20231.



Pam Turnbough

Date: January 4, 2002

ATL1 #500878 v1



Under the Patent Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 10/31/2002. OMB 0701-0042

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$120.00)

Complete if Known

Application Number	09/944,954
Filing Date	September 1, 2001
First Named Inventor	Philip M. Beart
Examiner Name	
Group Art Unit	1614
Attorney Docket No.	SYM 116/118

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number: 50-1868
- Deposit Account Name: Holland & Knight LLP
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ Payment Enclosed:
- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims: 20 = Extra Claims: 20 = Fee from below: 20 = Fee Paid: 20

Independent Claims: 3 = Extra Claims: 3 = Fee from below: 3 = Fee Paid: 3

Multiple Dependent: 0 = Extra Claims: 0 = Fee from below: 0 = Fee Paid: 0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 80	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	\$ 65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	\$ 55.00
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 279	355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$120.00)

SUBMITTED BY

Name (Print/Type)	Patrea L. Pabst	Registration No. (Attorney/Agent)	31,284	Telephone	404-817-8473
Signature		Date	1/4/02		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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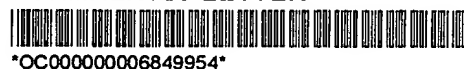
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/944,954	09/01/2001	Philip M. Beart	SYM 118

23579
PATREA L. PABST
HOLLAND & KNIGHT LLP
SUITE 2000, ONE ATLANTIC CENTER
1201 WEST PEACHTREE STREET, N.E.
ATLANTA, GA 30309-3400

CONFIRMATION NO. 2713

FORMALITIES LETTER



OC000000006849954

Date Mailed: 10/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

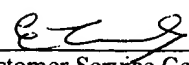
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE